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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/711,272	09/07/2004	John Ronald Burr	133684-1	5271
23413 7590 01/25/2007 CANTOR COLBURN, LLP			EXAMINER	
55 GRIFFIN RC	DAD SOUTH		GORTAYO, DANGELINO N	
BLOOMFIELD, CT 06002			ART UNIT	PAPER NUMBER
			2168	
SHORTENED STATUTORY	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		01/25/2007	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)			
	10/711,272	BURR ET AL.			
Office Action Summary	Examiner	Art Unit			
•	Dangelino N. Gortayo	2168			
The MAILING DATE of this communication app	1 - 1				
Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status	•				
1) Responsive to communication(s) filed on 20 October 2006.					
,	,—				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
<ul> <li>4) Claim(s) 1-24 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) is/are allowed.</li> <li>6) Claim(s) 1-24 is/are rejected.</li> </ul>					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/o	r election requirement.				
Application Papers					
9) The specification is objected to by the Examine	r				
10)⊠ The drawing(s) filed on <u>07 September 2004</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)	,				
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date					
Notice of Draftsperson's Patent Drawing Review (PTO-948)     Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date	Paper No(s)/Mail D: 5) ☐ Notice of Informal F 6) ☐ Other:				

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#### **DETAILED ACTION**

### Response to Amendment

1. The amendment filed 10/20/2006 have been received and entered. Claim 17 has been amended and claim 24 has been added. Claims 1-24 are now pending.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-23 are rejected under 35 U.S.C. 102(b) as being anticipated by Krivoshein (US Patent 6,449,715 B1).

As per claim 1, <u>Krivoshein</u> teaches "A system for sharing configuration information among a plurality of devices," (see Abstract)

"the system comprising: a network;" (Figure 1 and column 7 lines 57-64)

"a producer device in communication with the network, the producer device including instructions to:" (Figure 2 reference 72 and column 12 lines 60-64, "configuration database" including a configurator)

"transmit a data sample to a consumer device via the network;" (column 9 lines 15-24, wherein parameters are sent to devices)

"receive a request from the consumer device to send configuration information, the configuration information relating to the data sample;" (column 14 lines 4-8, wherein a device in a network requests configuration information)

"and transmit the configuration information to the consumer device via the network." (column 9 lines 30-36, wherein configuration information is transmitted to devices)

As per claim 2, <u>Krivoshein</u> teaches "the configuration information includes one or more of data type, encoding, location, and array length a signature, a time stamp, data size, an array element index, cardinality, an offset, and an address of the data sample." Figures 5A, 5B, column 9 lines 51-59, and column 19 lines 46-58)

As per claim 3, <u>Krivoshein</u> teaches "the configuration information includes default values." (column 14 lines 38-44, wherein a GSD file in the database includes default information)

As per claim 4, Krivoshein teaches "the configuration information includes a first configuration and a second configuration, the producer device transmits the data sample having the first configuration and a version of the first configuration and at least one of an indication that the second configuration is pending and a version of the second configuration." (column 16 lines 6-11, wherein a configurator can store different configurations to be sent to devices)

As per claim 5, <u>Krivoshein</u> teaches "the producer device receives the request from the consumer device to send the configuration information in response to the at

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least one of the indication that the second configuration is pending and the version of the second configuration." (column 16 lines 16-32)

As per claim 6, <u>Krivoshein</u> teaches "the configuration information includes a first configuration and a second configuration, the producer device transmits at least one of the data sample having the first configuration, a version of the first configuration, an indication that the second configuration is pending, and a version of the second configuration." (column 16 lines 40-54)

As per claim 7, <u>Krivoshein</u> teaches "the producer device receives the request from the consumer device to send the configuration information in response to the at least one of the indication that the second configuration is pending and the version of the second configuration." (column 16 lines 40-54)

As per claim 8, <u>Krivoshein</u> teaches "the consumer device detects a mismatch in the configuration information via the network." (column 18 lines 28-36, wherein the configuration file in each device is examined)

As per claim 9, <u>Krivoshein</u> teaches "the producer device receives an instruction from external source to change the configuration information from a first configuration to a second configuration." (column 18 lines 33-38)

As per claim 10, Krivoshein teaches "the producer device instructs the consumer device via the network that a change in the configuration information is pending." (column 18 lines 39-44)

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As per claim 11, <u>Krivoshein</u> teaches "the producer device maintains a first configuration for a predetermined time and creates a second configuration." (column 9 lines 36-43)

As per claim 12, <u>Krivoshein</u> teaches "the producer device transmits the second configuration to the consumer device." (column 18 lines 8-13)

As per claim 13, Krivoshein teaches "the producer device implements the second configuration and the consumer device responds and implements the second configuration. (column 16 lines 33-40 and column 18 lines 14-19)

As per claim 14, Krivoshein teaches "the network includes at least one of an local area network, a wide area network, a global network, a virtual private network, an intranet, an Ethernet local area network with internet protocol." (column 7 lines 31-36)

As per claim 15, <u>Krivoshein</u> teaches "A method for sharing configuration information among a plurality of devices," (see Abstract)

"the method comprising: transmitting a data sample from a producer device to a consumer device via a network;" (column 9 lines 15-24, wherein parameters are sent to devices)

"receiving a request at the producer device to send configuration information to the consumer device, the configuration information relating to the data sample;" (column 14 lines 4-8, wherein a device in a network requests configuration information)

"and transmitting the configuration information to the consumer device via the network." (column 9 lines 30-36, wherein configuration information is transmitted to devices)

As per claim 16, Krivoshein teaches "detecting a mismatch at the consumer device in the configuration information." (column 18 lines 28-36, wherein the configuration file in each device is examined)

As per claim 17, Krivoshein teaches "receiving an instruction at the producer device to change the configuration information from a first configuration to a second configuration." (column 16 lines 6-11, wherein a configurator decides which configuration to use)

As per claim 18, <u>Krivoshein</u> teaches "instructing the consumer device via the network that the change in the configuration information is pending." (column 18lines 39-44)

As per claim 19, <u>Krivoshein</u> teaches "maintaining the first configuration at the producer device for a predetermined time and creating the second configuration at the producer device." (column 9 lines 36-43)

As per claim 20, <u>Krivoshein</u> teaches "transmitting the second configuration to the consumer device." (column 18 lines 8-13)

As per claim 21, <u>Krivoshein</u> teaches "implementing the second configuration at the producer device." (column 18 lines 8-13)

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As per claim 22, <u>Krivoshein</u> teaches "implementing the second configuration at the consumer device in response to the producer device implementing the second configuration." (column 16 lines 33-40 and column 18 lines 14-19)

As per claim 23, <u>Krivoshein</u> teaches "A computer program product for sharing configuration information among a plurality of devices," (see Abstract)

"the computer program product comprising: a storage medium readable by a processing circuit and storing instructions for execution by the processing circuit for performing a method comprising:" (column 7 lines 37-42)

"transmitting a data sample from a producer device to a consumer device via a network;" (column 9 lines 15-24, wherein parameters are sent to devices) "receiving a request at the producer device to send configuration information to the consumer device, the configuration information relating to the data sample;" (column 14 lines 4-8, wherein a device in a network requests configuration information)

"and transmitting the configuration information to the consumer device via the network." (column 9 lines 30-36, wherein configuration information is transmitted to devices)

As per claim 24, <u>Krivoshein</u> teaches "configuration information is stored in the producer device." (column 12 line 60 – column 13 line 15)

#### Response to Arguments

4. Applicant's amendment, see page 6, filed 10/20/2006, with respect to the objection of claim 17 have been fully considered and are persuasive. The objection to claim 17 has been withdrawn.

- 5. Applicant's arguments with respect to the 35 USC 102(b) rejection of claims 1-23 have been fully considered but they are not persuasive.
  - a. Applicant's argument is stated as Krivoshein does not teach instructions included in the producer device that enable the producer device to receive a request from the consumer device and transmit the requested information back to the consumer device without any human involvement.

In regards to the argument, Examiner respectfully disagrees. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., instructions without any human involvement) are not recited in the rejected claim. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Therefore, Krivoshein teaches a producer device in communication with a network that includes instructions to receive a request and transmit the requested information in Figure 2 reference 72, column 12 lines 60-64, column 9 lines 15-24, and column 14 lines 4-8 as outlined in the rejection above.

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b. Applicant's argument is stated as Krivoshein does not disclose actually sending the actual configuration information to the consumer devices.

In regards to the argument, Examiner respectfully disagrees. As cited in column 6 lines 1-5 by the applicant and column 9 lines 15-36 in the rejection above, configuration information is sent to devices. Nowhere in the claim is configuration information defined specifically to limit the use of runtime configuration as configuration information shared between provider device and consumer devices. In column 5 lines 39-64, states that Krivoshein teaches that configuration and documentation of devices is done by a configuration database. Therefore, Krivoshein discloses actually sending the actual configuration information to the consumer devices.

c. Applicant's argument is stated as Krivoshein does not disclose elements of a first configuration or a second configuration, and a transition from the first configuration to a second configuration.

In regards to the argument, Examiner respectfully disagrees. Krivosein, in column 11 line 60 – column 12 line 23 and columns 28 lines 5-56, teaches that through a configuration database, a user can configure a device in a network. Each device includes a default configuration file, and the user can change the default parameters of a configuration of a device, thus creating a second, different configuration, that will be passed on to the device and downloaded to the device. While multiple devices are described by Krivoshein, this is only an example, and modification to a default configuration causes a second different

configuration for a specific device to be created. Therefore, Krivoshein teaches elements of a first configuration or a second configuration, and a transition from the first configuration to a second configuration.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dangelino N. Gortayo whose telephone number is (571)272-7204. The examiner can normally be reached on M-F 7:30-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim T. Vo can be reached on (571)272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Dangelino N. Gortayo Examiner

Tim T. Vo SPE

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SUPERVISORY PATENT EXAMINER **TECHNOLOGY CENTER 2100**